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# Computer simulation and flow visualization of thermocapillary flow in a silicone oil floating zone

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**Abstract**—Computer simulation and flow visualization were conducted to study thermocapillary flow in a small zone of silicone oil held between two differentially heated rods. Despite significant zone necking, converting calculated streamlines to flow patterns that could be compared with the observed flow patterns was possible, by incorporating the lens effect of the oil zone. It was found that the lens effect causes the streamlines to appear to shift toward the free surface and away from the top and bottom of the zone. It also causes the vortex to appear to shift upward and outward. The calculated and converted flow patterns agree very well with the observed ones.

## INTRODUCTION

Thermocapillary flow in a liquid column held between two vertical, differentially heated rods, which is sometimes called a half zone, has been a subject of significant interest in the microgravity fluid physics community. Under microgravity natural (buoyant) convection becomes very weak and thermocapillary flow, therefore, dominates.

Many computational studies have been conducted to study fluid flow in a floating zone [1–36]. Most of these studies focused on thermocapillary flow, though some of them examined the effect of rotation, the electromagnetic force and externally applied magnetic fields. Many experimental studies have also been conducted to study fluid flow in a floating zone [37–51], most of them focusing on thermocapillary flow again. Comparison between calculated flow patterns with observed ones, however, has been rather rare if any at all. This comparison, in fact, is not possible unless the optical distortions due to the lens effect of the floating zone are taken into account.

For floating zones that are cylindrical in shape, quantitative description of such optical distortions is available [50, 51]. Unfortunately, floating zones are noncylindrical under normal gravity. In fact, they can also be noncylindrical under microgravity. For example, floating zones during microgravity crystal growth are often noncylindrical since the growth angle [52] is not zero for many materials. Also, floating zones will have to be noncylindrical if the effect of their shape on thermocapillary flow is to be studied. Recently, quantitative description of optical dis-

tortions associated with noncylindrical floating zones has become available [53]. This description has been verified against a grid optically distorted by a Plexiglass model of a noncylindrical shape [53].

The main purpose of the present study is to compare, for the first time, flow patterns based on calculated streamlines with flow patterns observed in flow visualization in floating zones that are noncylindrical in shape. Streamlines are first calculated by computer simulation and then converted to flow patterns by considering the lens effect of the floating zone. Flow visualization is then conducted and the observed flow patterns are compared with the calculated and converted ones. Non-axisymmetric oscillatory convection was not observed in flow visualization and is, therefore, not considered here.

## GOVERNING EQUATIONS AND BOUNDARY CONDITIONS

The physical system being considered is illustrated schematically in Fig. 1. Convection in the oil zone is assumed axisymmetric, laminar and at the steady state.

### Equation of motion

$$\frac{\partial}{\partial r} \left( \frac{\omega}{r} \frac{\partial \psi}{\partial z} \right) - \frac{\partial}{\partial z} \left( \frac{\omega}{r} \frac{\partial \psi}{\partial r} \right) + \frac{\partial}{\partial r} \left[ \frac{1}{r} \frac{\partial}{\partial r} (\mu r \omega) \right] + \frac{\partial}{\partial z} \left[ \frac{1}{r} \frac{\partial}{\partial z} (\mu r \omega) \right] - \rho_L \beta g \left( \frac{\partial T}{\partial r} \right) = 0. \quad (1)$$

### Stream equation

$$\frac{\partial}{\partial z} \left[ \frac{1}{\rho_L r} \frac{\partial \psi}{\partial z} \right] + \frac{\partial}{\partial r} \left[ \frac{1}{\rho_L r} \frac{\partial \psi}{\partial r} \right] + \omega = 0. \quad (2)$$

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### NOMENCLATURE

<p><math>a, b, c, d</math> coefficients in general governing equation</p> <p><math>C_p</math> specific heat</p> <p><math>\vec{e}_r</math> unit vector in <math>r</math>-direction</p> <p><math>\vec{e}_z</math> unit vector in <math>z</math>-direction</p> <p><math>g</math> gravitational acceleration</p> <p><math>h</math> heat transfer coefficient</p> <p><math>k</math> thermal conductivity</p> <p><math>n</math> refraction index</p> <p><math>\vec{n}</math> unit normal vector</p> <p><math>r</math> radial coordinate</p> <p><math>\vec{s}</math> unit tangential vector</p> <p><math>\mathbf{t}</math> stress tensor</p> <p><math>T</math> temperature</p> <p><math>u</math> radial velocity component</p>	<p><math>v</math> axial velocity component</p> <p><math>z</math> axial coordinate.</p> <p><b>Greek symbols</b></p> <p><math>\beta</math> thermal expansion coefficient</p> <p><math>\gamma</math> surface tension</p> <p><math>\varepsilon</math> emissivity</p> <p><math>\eta</math> curvilinear coordinate</p> <p><math>\mu</math> dynamic viscosity</p> <p><math>\xi</math> curvilinear coordinate</p> <p><math>\rho</math> density</p> <p><math>\sigma</math> Stefan-Boltzmann constant</p> <p><math>\phi</math> general dependent variable</p> <p><math>\Psi</math> stream function</p> <p><math>\omega</math> vorticity.</p>
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*Energy equation*

$$\frac{\partial}{\partial r} \left( C_p T \frac{\partial \psi}{\partial z} \right) - \frac{\partial}{\partial z} \left( C_p T \frac{\partial \psi}{\partial r} \right) + \frac{\partial}{\partial z} \left( rk \frac{\partial T}{\partial z} \right) + \frac{\partial}{\partial r} \left( rk \frac{\partial T}{\partial r} \right) = 0. \quad (3)$$

The stream function  $\psi$  and vorticity  $\omega$  in the above equations are defined in terms of the radial velocity  $u$  and the axial velocity  $v$  as follows:

$$u = -\frac{1}{\rho_L r} \frac{\partial \psi}{\partial z}, \quad (4)$$

$$v = \frac{1}{\rho_L r} \frac{\partial \psi}{\partial r}, \quad (5)$$

$$\omega = \frac{\partial u}{\partial z} - \frac{\partial v}{\partial r}. \quad (6)$$

The thermal boundary conditions are as follows:

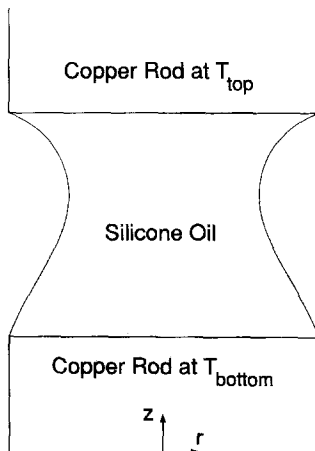


Fig. 1. Schematic sketch of the floating zone being considered.

1. along the axis,

$$\frac{\partial T}{\partial r} = 0 \text{ due to symmetry}; \quad (7)$$

2. at the top oil–solid interface,

$$T = T_{\text{top}}; \quad (8)$$

3. at the bottom oil–solid interface,

$$T = T_{\text{bottom}}; \quad (9)$$

4. at the free surface,

$$-k(\vec{n} \cdot \nabla T) = h(T - T_a) + \varepsilon \sigma (T^4 - T_a^4), \quad (10)$$

where  $\vec{n}$  is the unit normal vector, pointing radially outward.

The fluid-flow boundary conditions are as follows:

1. along the axis,

$$\psi = 0 \quad (11)$$

$$\omega = 0, \quad (12)$$

the stream function is set to zero at the axis as a reference. The zero vorticity is the result of  $\partial u / \partial z = \partial v / \partial r = 0$  at the axis;

2. at the oil–solid interfaces,

$$\psi = 0, \quad (13)$$

$$\omega = \frac{\partial}{\partial z} \left( \frac{-1}{\rho_L r} \frac{\partial \psi}{\partial z} \right); \quad (14)$$

3. at the free surface of the oil,

$$\psi = 0, \quad (15)$$

$$\omega = \frac{\partial u}{\partial z} - \frac{\partial v}{\partial r}, \quad (16)$$

with:

$$\vec{n} \cdot \vec{s} : \mathbf{t} = \frac{\partial \gamma}{\partial T} (\vec{s} \cdot \nabla T), \quad (17)$$

where  $\vec{n}$  and  $\vec{s}$  are, respectively, the unit normal vector

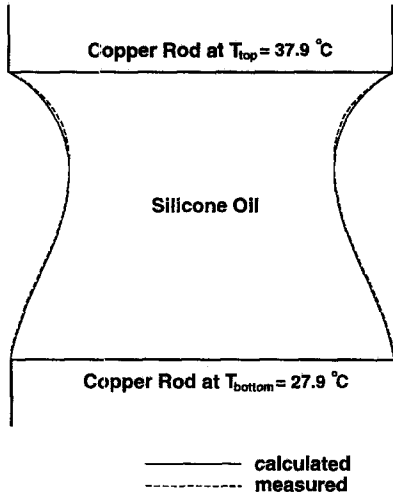


Fig. 2. A calculated free surface shape showing good agreement with the observed one.

and unit tangential vector at the free surface,  $\mathbf{t}$  the stress tensor and  $\partial\gamma/\partial T$  the surface tension-temperature coefficient of the oil. Equation (17) is the shear-stress balance at the free surface.

#### COORDINATE TRANSFORMATION

Due to the fact that the free surface is not flat but curved, the vorticity boundary condition in terms of the cylindrical coordinate system  $(r, z)$ , i.e. equation (17), cannot be implemented properly. This is mainly because the free surface does not pass through the grid points. In view of this problem we have transformed the above governing equations and boundary conditions into those in terms of general (non-orthogonal) curvilinear coordinates  $(\eta, \xi)$  which fit all the interfaces, as shown in Fig. 2. In this way, all the boundary conditions can be treated accurately.

Following the procedure of Thompson *et al.* [54], equations (1)–(3) can be transformed into the following general form:

$$\frac{\partial}{\partial \eta} \left( a\phi \frac{\partial \psi}{\partial \xi} \right) - \frac{\partial}{\partial \xi} \left( a\phi \frac{\partial \psi}{\partial \eta} \right) + \frac{b}{J} \left[ g_{22} \frac{\partial^2 (c\phi)}{\partial \eta^2} + g_{11} \frac{\partial^2 (c\phi)}{\partial \xi^2} \right] + d_{PQ} + d_{nor} + d_{or} = 0. \quad (18)$$

Coefficients  $a$ ,  $b$ ,  $c$  and  $d$  in the above equation are given in Table 1 for  $\phi = \psi$ ,  $\omega$  and  $T$ , respectively.

Table 1. Coefficients  $a$ ,  $b$ ,  $c$  and  $d$  in equation (18)

$\phi$	$a$	$b$	$c$	$d$
$\psi$	0	$\frac{1}{\rho_L r}$	1	$\omega$
$\omega$	$\frac{1}{r}$	$\frac{1}{r}$	$\mu r$	$-\frac{\rho_L g \beta}{J} \left[ \frac{\partial z}{\partial \xi} \frac{\partial T}{\partial \eta} - \frac{\partial z}{\partial \eta} \frac{\partial T}{\partial \xi} \right]$
$T$	$C_p$	$kr$	1	0

Other coefficients in the same equation are defined as follows:

$$d_{PQ} = bJ \left[ P(\eta, \xi) \frac{\partial (c\phi)}{\partial \eta} + Q(\eta, \xi) \frac{\partial (c\phi)}{\partial \xi} \right], \quad (19)$$

$$d_{nor} = -\frac{2bg_{12}}{J} \frac{\partial^2 (c\phi)}{\partial \eta \partial \xi} + \frac{1}{J} \left[ \left( g_{22} \frac{\partial b}{\partial \eta} - g_{12} \frac{\partial b}{\partial \xi} \right) \times \frac{\partial (c\phi)}{\partial \eta} + \left( g_{11} \frac{\partial b}{\partial \xi} - g_{12} \frac{\partial b}{\partial \eta} \right) \frac{\partial (c\phi)}{\partial \xi} \right], \quad (20)$$

$$d_{or} = Jd, \quad (21)$$

$$g_{11} = \left( \frac{\partial r}{\partial \eta} \right)^2 + \left( \frac{\partial z}{\partial \eta} \right)^2, \quad (22)$$

$$g_{22} = \left( \frac{\partial r}{\partial \xi} \right)^2 + \left( \frac{\partial z}{\partial \xi} \right)^2, \quad (23)$$

$$g_{12} = \left( \frac{\partial r}{\partial \eta} \right) \left( \frac{\partial r}{\partial \xi} \right) + \left( \frac{\partial z}{\partial \eta} \right) \left( \frac{\partial z}{\partial \xi} \right), \quad (24)$$

where:

$$J = \left( \frac{\partial r}{\partial \eta} \right) \left( \frac{\partial z}{\partial \xi} \right) - \left( \frac{\partial z}{\partial \eta} \right) \left( \frac{\partial r}{\partial \xi} \right), \quad (25)$$

$$P = \left\{ g_{22} \left[ \frac{\partial^2 z}{\partial \eta^2} \frac{\partial r}{\partial \xi} - \frac{\partial^2 r}{\partial \eta^2} \frac{\partial z}{\partial \xi} \right] - 2g_{12} \left[ \frac{\partial r}{\partial \xi} \frac{\partial^2 z}{\partial \eta \partial \xi} - \frac{\partial z}{\partial \xi} \frac{\partial^2 r}{\partial \eta \partial \xi} \right] + g_{11} \left[ \frac{\partial^2 z}{\partial \xi^2} \frac{\partial r}{\partial \xi} - \frac{\partial z}{\partial \xi} \frac{\partial^2 r}{\partial \xi^2} \right] \right\} / J^3, \quad (26)$$

$$Q = \left\{ g_{22} \left[ \frac{\partial^2 r}{\partial \eta^2} \frac{\partial z}{\partial \eta} - \frac{\partial^2 z}{\partial \eta^2} \frac{\partial r}{\partial \eta} \right] - 2g_{12} \left[ \frac{\partial z}{\partial \eta} \frac{\partial^2 r}{\partial \eta \partial \xi} - \frac{\partial r}{\partial \eta} \frac{\partial^2 z}{\partial \eta \partial \xi} \right] + g_{11} \left[ \frac{\partial^2 r}{\partial \xi^2} \frac{\partial z}{\partial \eta} - \frac{\partial r}{\partial \eta} \frac{\partial^2 z}{\partial \xi^2} \right] \right\} / J^3. \quad (27)$$

The transformed thermal boundary conditions are as follows:

1. along the axis,

$$\frac{\partial T}{\partial \eta} = 0; \quad (28)$$

2. at the top oil–solid interface,

$$T = T_{top}; \quad (29)$$

3. at the bottom oil–solid interface,

$$T = T_{bottom}; \quad (30)$$

4. at the free surface,

$$-k(\mathbf{\bar{n}} \cdot \nabla T) = h(T - T_a) + \varepsilon\sigma(T^4 - T_a^4). \quad (31)$$

The transformed fluid-flow boundary conditions are as follows:

1. along the axis,

$$\psi = 0, \quad (32)$$

$$\omega = 0; \quad (33)$$

2. at the oil–solid interfaces,

$$\psi = 0, \quad (34)$$

$$\omega = -\frac{g_{11}}{\rho_L r J^2} \frac{\partial^2 \psi}{\partial \xi^2}; \quad (35)$$

3. at the free surface,

$$\psi = 0, \quad (36)$$

$$\omega = -\frac{1}{\mu g_{22}^{1/2}} \frac{\partial \gamma}{\partial T} \frac{\partial T}{\partial \xi} + \frac{2}{g_{22}} \left[ \frac{\partial z}{\partial \xi} \frac{\partial u}{\partial \xi} - \frac{\partial r}{\partial \xi} \frac{\partial v}{\partial \xi} \right]. \quad (37)$$

Along the free surface,  $\psi$  is constant and  $\partial\psi/\partial\xi = 0$ . From this, it can be shown that  $\vec{n} \cdot (\mu\vec{e}_r + v\vec{e}_z) = 0$ . This equation is the so-called kinematic condition. The shape of the free surface is calculated from the normal stress balance at the free surface, which has already been described previously [30].

#### METHOD OF SOLUTION

The numerical method for solving equations (1)–(3) is similar to that of Gosman *et al.* [55] and has already been described elsewhere [56]. This method is a control-volume finite-difference method for solving governing equations in terms of the stream function and vorticity. The convergence criteria are as follows:

$$\frac{\Sigma|\psi - \psi_{old}|}{\Sigma|\psi|} < 1 \times 10^{-5},$$

$$\frac{\Sigma|\omega - \omega_{old}|}{\Sigma|\omega|} < 1 \times 10^{-5},$$

$$|T - T_{old}|_{\max} < 1 \times 10^{-4} \text{C},$$

where  $\Sigma$  denotes summation over all grid points, and max the maximum of all grid-point values.

#### EXPERIMENTAL PROCEDURE

The silicone oil used was Dow Corning Fluid 200, 5.0 cs. Two copper rods close to 0.4 cm (actually 0.412 cm) in diameter were prepared. In previous experimental studies, copper or aluminum rods, 0.3 or 0.4 cm in diameter, were very often used, since fluid flow in a silicone oil zone of this small size is dominated by thermocapillary flow rather than natural convection. Consequently, thermocapillary flow can be studied under normal gravity.

The temperature of each rod was kept constant during the experiment with the help of a thermocouple located near the oil end of the rod. The thermocouple was connected to a temperature controller which regulated the heater power.

Two different experiments were conducted. In the first case, the temperatures of the upper and lower rods were 31.0 and 20.8°C, respectively, and the gap between the rods was 0.303 cm. In the second case, these values became 37.9°C, 27.8°C and 0.306 cm, respectively. In both cases, the oil zone was not cylindrical in shape but necked significantly. The zone collapsed when more silicone oil was added to reduce necking. Such necking has been reported previously in similar floating zones [37, 40, 41].

Flow visualization was conducted using a laser light-cut technique, which has been described elsewhere [49]. In brief, small marker particles of aluminum were added to the silicone oil to help reveal the flow patterns. A thin (about 0.5 mm) vertical sheet of He–Ne laser light was caused to cut the oil zone through its meridian plane, to illuminate the moving particles in the plane. In both cases, convection was stable and axisymmetric.

#### RESULTS AND DISCUSSION

The physical properties of 5 cs silicone oil are given in Table 2 [57, 58]. Recent measurements [58] showed that  $\partial\gamma/\partial T = -0.062$  and  $-0.060$  dyne  $\text{cm}^{-1} \text{C}^{-1}$  for 2 and 10 cs silicone oils, respectively. As such,  $-0.060$  dyne  $\text{cm}^{-1} \text{C}^{-1}$  was taken as the  $\partial\gamma/\partial T$  value for 5 cs silicone oil. The refractive index  $n = 1.396$ . Heat losses from the free surface are neglected since the temperatures of the copper rods are close to the room temperature.

An example of the calculated shapes of the free surface is shown in Fig. 2. As shown, the agreement between the calculated and observed free surfaces is very good.

An example of the grids used for computation is shown in Fig. 3. As shown, the grid spacing is non-uniform, i.e. finer near the free surface and the oil–solid interfaces, where temperature and velocity gradients are expected to be greater. This grid system has  $31 \times 41$  grid points in the oil zone and further reduction in grid spacing did not produce significant differences. The same grid system was used in our previous studies on floating-zone crystal growth [29–32] where the effect of grid spacing was already discussed in detail.

Figure 4 shows the calculated result for the case where the upper and lower copper rods are kept at 31.0 and 20.8°C, respectively. As expected from the

Table 2. Physical properties of 5 cs silicone oil [57, 58]

$k_L = 1.09 \times 10^{-3} \text{ W cm}^{-1} \text{C}^{-1}$
$C_p = 1.714 \text{ J g}^{-1} \text{C}^{-1}$
$\beta = 1.05 \times 10^{-3} \text{ C}^{-1}$
$\partial\gamma/\partial T = -0.060 \text{ dyne cm}^{-1} \text{C}^{-1}$
$\gamma = 18.7 + (T - 25^\circ\text{C})\partial\gamma/\partial T \text{ dyne cm}^{-1}$
$\mu = 0.04565 \text{ g cm}^{-1} \text{s}^{-1}$
$\rho_L = 0.913 \text{ g cm}^{-3}$
$n = 1.396$

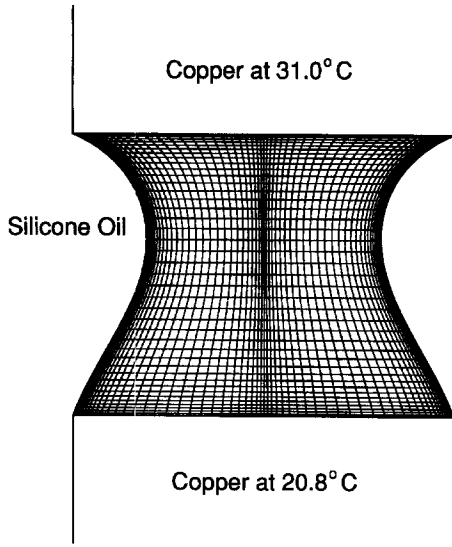


Fig. 3. A grid mesh used for computations of heat transfer and fluid flow in the floating zone.

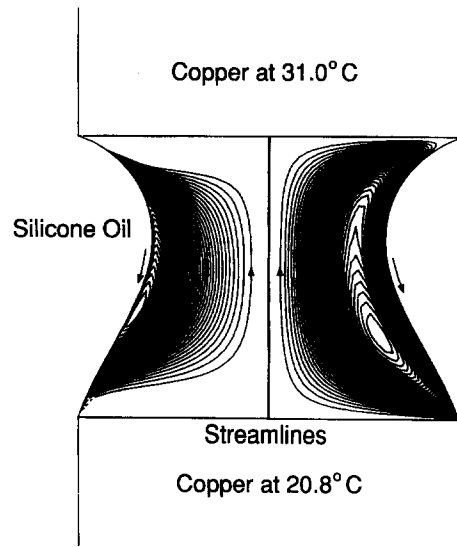


Fig. 5. The flow pattern (left), for the case of  $T_{\text{top}} = 31.0^{\circ}\text{C}$  and  $T_{\text{bottom}} = 20.8^{\circ}\text{C}$ , based on the calculated streamlines (right) and the lens effect of the oil zone.

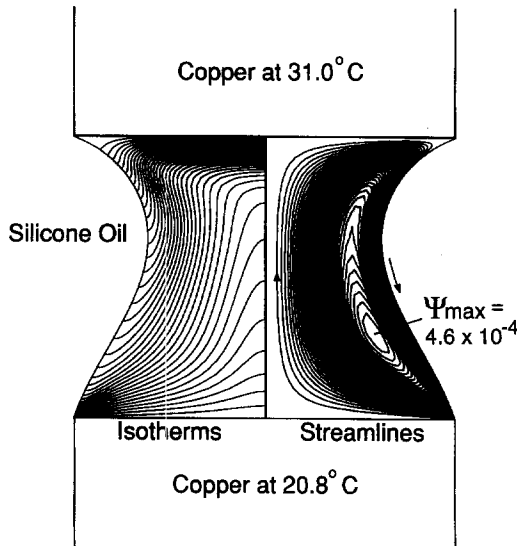


Fig. 4. Calculated results for the case of  $T_{\text{top}} = 31.0^{\circ}\text{C}$  and  $T_{\text{bottom}} = 20.8^{\circ}\text{C}$ : isotherms (left) and streamlines (right).

negative  $\partial\gamma/\partial T$  value of the silicone oil, the calculated streamlines show that the oil flows along the free surface from the hotter rod at the top (where the surface tension is lower) to the cooler rod at the bottom (where the surface tension is higher). The liquid then turns around and flows inward and upward back to the hotter rod at the top. The maximum stream function is  $\psi_{\text{max}} = 4.6 \times 10^{-4} \text{ g s}^{-1}$ . The streamlines are evenly spaced at  $\Delta\psi = (\psi_{\text{max}} - 0)/40$ . The maximum velocity is  $0.347 \text{ cm s}^{-1}$  and is located near the bottom of the free surface.

The calculated isotherms are shown at the interval of  $\Delta T = (T_{\text{top}} - T_{\text{bottom}})/40$ . As expected from the rather high Prandtl number (71.8) of the silicone oil, the isotherms are significantly distorted, i.e. being

pushed downward along the free surface and upward along the axis.

Figure 5 shows the flow pattern (LHS) which is converted from the calculated streamlines (RHS) following the procedure described in ref. [53] to incorporate the lens effect of the oil zone. As compared to the as-calculated streamlines, the lens effect of the oil zone causes the streamlines to appear to shift toward the free surface and away from both oil–solid interfaces. It also causes the vortex to appear to shift outward and upward. It was observed that with severe zone necking converted streamlines near the lower and upper corners of the free surface can jump due to singularity and need to be smoothed.

Figure 6 shows the comparison between the calculated and observed flow patterns. The wiggling in the flow lines was caused by unintentional camera vibration during photographing. This problem was corrected in a subsequent experiment by holding down the camera to a heavy stable base. As shown, the calculated and converted flow pattern agrees very well with the observed one. The location of the calculated and converted vortex is very close to that of the observed one. The centers of the two vortices are about the same distance both from the lower copper rod and from the free surface. Both flow patterns show no visible streamlines in the areas near the oil–solid interfaces. The ‘ghost’ streamlines visible near the lower copper rod are just the free-surface reflection of the streamlines from above. In fact, some clusters of aluminum particles resting at the bottom of the oil zone are also visible near the oil–solid interfaces.

Figure 7 shows the calculated result for the case where the upper and lower copper rods are kept at  $37.9$  and  $27.8^{\circ}\text{C}$ , respectively. The streamlines and isotherms are similar to those shown in Fig. 4. The maximum stream function  $\psi_{\text{max}} = 5.0 \times 10^{-4} \text{ g s}^{-1}$ .

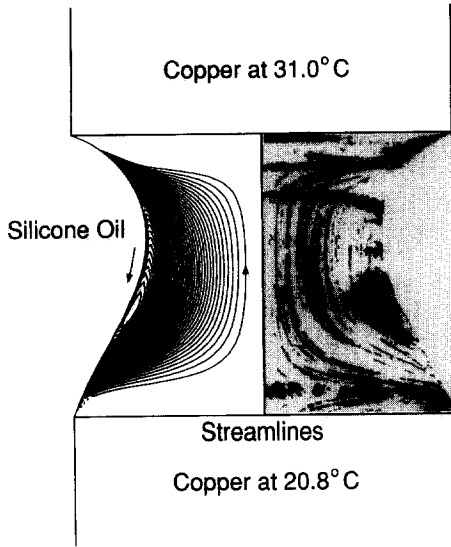


Fig. 6. Comparison, for the case of  $T_{\text{top}} = 31.0^\circ\text{C}$  and  $T_{\text{bottom}} = 20.8^\circ\text{C}$ , between the calculated (left) and observed (right) flow patterns.

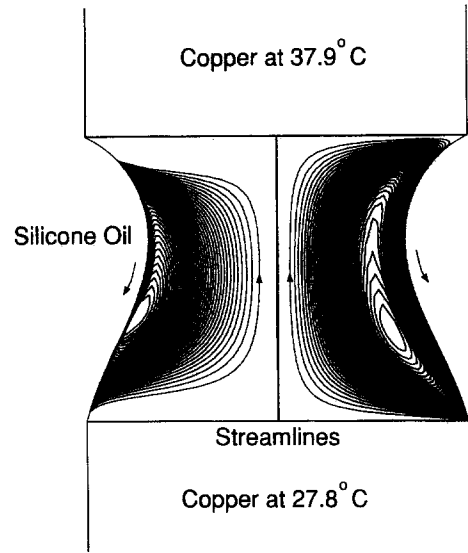


Fig. 8. The flow pattern (left), for the case of  $T_{\text{top}} = 37.9^\circ\text{C}$  and  $T_{\text{bottom}} = 27.8^\circ\text{C}$ , based on the calculated streamlines (right) and the lens effect of the oil zone.

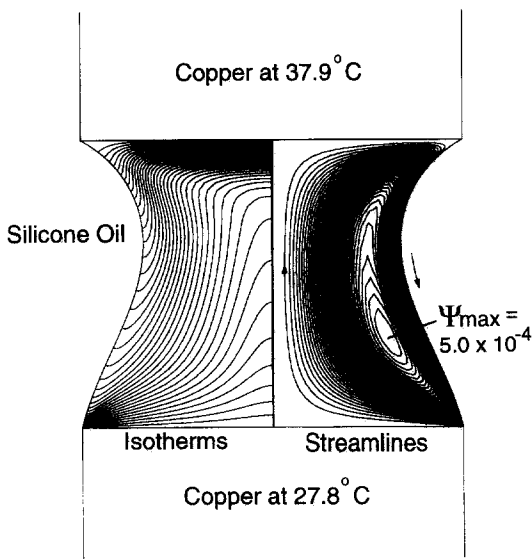


Fig. 7. Calculated results for the case of  $T_{\text{top}} = 37.9^\circ\text{C}$  and  $T_{\text{bottom}} = 27.8^\circ\text{C}$ : isotherms (left) and streamlines (right).

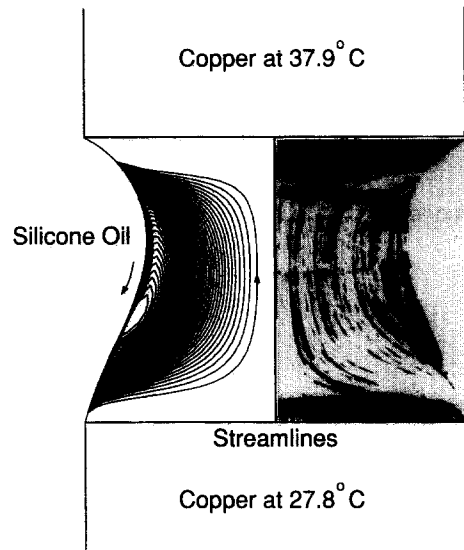


Fig. 9. Comparison, for the case of  $T_{\text{top}} = 37.9^\circ\text{C}$  and  $T_{\text{bottom}} = 27.8^\circ\text{C}$ , between the calculated (left) and observed (right) flow patterns.

The maximum velocity is  $0.377 \text{ cm s}^{-1}$  and is again located near the bottom of the free surface.

As shown in Fig. 8, the lens effect of the oil zone again causes the streamlines to appear to shift toward the free surface and away from the oil–solid interfaces. It also appears to shift the vortex upward and outward again.

As shown in Fig. 9, the calculated and converted flow pattern is again in very good agreement with the observed one.

Quantitative comparison between calculated and converted velocity fields with measured ones is underway and will be reported subsequently.

## CONCLUSIONS

Thermocapillary flow in a silicone oil floating zone has been studied by computer simulation and flow visualization. The lens effect due to the noncylindrical shape of the floating zone causes the streamlines to appear to shift toward the free surface and away from the top and bottom of the zone. It also causes the vortex to appear to shift outward and upward. By incorporating the lens effect, the calculated streamlines have been converted to flow patterns that can be compared with the observed ones from flow visualization. The calculated and converted flow patterns are in very good agreement with the observed ones.

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